

ART UNIT 2739

C 2700 MAIL ROOM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

TODD C. LAWSON, ET AL.

Serial No .:

09/440,620

Filed:

**NOVEMBER 15, 1999** 

For:

METHOD AND SYSTEM FOR INTELLIGENT

GLOBAL EVENT NOTIFICATION AND CONTROL WITHIN A DISTRIBUTED

**COMPUTING ENVIRONMENT** 

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

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In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

Since all listed references are either in the English language or are accompanied by a translation into English, no concise explanation of relevance is required under 37 C.F.R. § 1.98(a)(3).

Dated this 17th day of February, 2000.

Respectfully submitted,

MICHAEL F. KRIEGER Attorney for Applicant Registration No. 35,232

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